

Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450

Attorney Docket No.: EH-10713

INFORMATION DISCLOSURE STATEMENT

In re application of: Mark E. Addis

Serial No.: 10/065,897

Filed: November 27, 2002

Title: BRUSH SEAL WITH ADJUSTABLE
CLEARANCE

Art Unit: 3671

Examiner: Hartmann, Gary S.

Applicants submit herewith Form PTO-1449 identifying patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Under 37 CFR 1.97 (b)

☒ This Information Disclosure Statement is being filed within three months of the filing date of the application, or the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.

Under 37 CFR 1.97 (c)

☐ This Information Disclosure Statement is being filed *after* three months of the filing date of this national application, or the date of entry into the national stage as set forth in §1.491 in an international application, or after the mailing date of the first Office Action on the merits, whichever event occurred last, but *before* the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

☐ A _____ as specified in 37 CFR 1.97(e) is set forth below.

☐ Fee as set forth in 37 CFR 1.17(p) - Authorization to charge Deposit Account. Please charge the amount of \$240.00 and any additional fee due, and credit any overpayment to Deposit Account No. 21-0279, Order No. _____. A duplicate copy hereof is _____ enclosed.

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Under 37 CFR 1.97(d)

☐ This Information Disclosure Statement is being filed *after* a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee. Applicant hereby petitions for the consideration of this Information Disclosure Statement, 37 CFR 1.97(d)(ii). A certification as specified in 37 CFR 1.97(e) is set forth below.

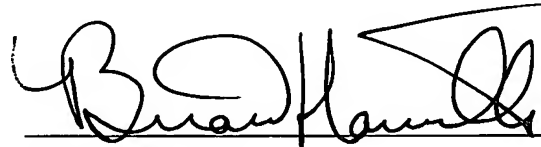
☒ Authorization To Charge Deposit Account

Please charge any additional fee due, and credit any overpayment to Deposit Account No. 21-0279, Order No. EH-10713. A duplicate copy hereof is enclosed.

CERTIFICATION (37 CFR 1.97(e))

☒ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.



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CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)			Docket No.
Applicant(s): Mark E. Addis			EH-10713
Serial No.	Filing Date	Examiner	Group Art Unit
10/065,897	November 27, 2002	Hartmann, Gary S.	3671
Invention: BRUSH SEAL WITH ADJUSTABLE CLEARANCE			

I hereby certify that this IDS Transmittal (2 page), Duplicate of Authorization to Charge Deposit Account (1 page), Form 1449 (1 page), Copies of References Cited (3), Return Postcard is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 18, 2004.

Marianne S. Carello

(Typed of Printed Name of Person Mailing
Correspondence)

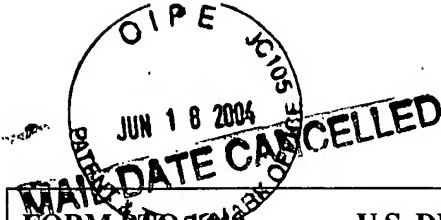
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FORM PTO-1019
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EH-10713

Serial No.
10/065,897

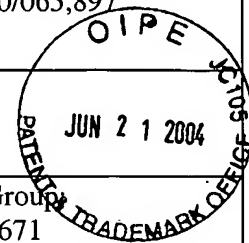
INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicant(s):
Mark E. Addis

Filing Date:
November 27, 2002

Group
3671



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	6,382,632	05/07/02	Chupp et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2	0 357 536	03/07/90	EP			
	3	1 241 384	09/18/02	EP			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, etc.)

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Examiner

Date considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.